

FORM PTO-1390
(REV 10-2004)

ATTORNEY DOCKET NUMBER

5000-5247

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

TBA 10,525,983

INTERNATIONAL APPLICATION
PCT/JP2003/010660INTERNATIONAL FILING DATE
22 August 2003PRIORITY DATE CLAIMED
28 August 2002TITLE OF INVENTION
OSCILLATOR CIRCUIT

APPLICANT(S) FOR DO/EO/US

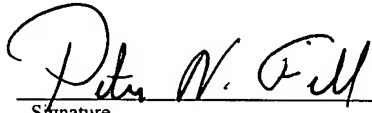
Isami KATO and Hiroshi MIYAGI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected. (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or Information: First page of Published Application; Check in the amount of \$1,040.00; PCT/IB/332; PCT/IB/308; PCT/IB/304; PCT/IB/301; and Return Receipt Postcard.

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) TBA		INTERNATIONAL APPLICATION NO. PCT/JP2003/010660		ATTORNEY'S DOCKET NO. 5000-5247	
21. The following fees are submitted.					
<input checked="" type="checkbox"/> a) Basic national fee		\$300.00		\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee		\$200.00		\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee		\$500.00		\$ 500.00	
TOTAL OF ABOVE CALCULATIONS =		\$1,000.00		\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
24- 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	17 - 20	0	x \$50.00	\$	
Independent claims	2 - 3	0	x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 40.00
TOTAL FEES ENCLOSED =				\$1,040.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1,040.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>13-4500</u> in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4500</u> 5000-5247. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
Steven F. Meyer, Esq. MORGAN & FINNEGAN, LLP 3 World Financial Center New York, NY 10281					
 Signature					
Peter N. Fill Name					
38,876 REGISTRATION NUMBER					

IN THE UNITED STATES

☐ RECEIVING OFFICE (RO/US)
☒ DESIGNATED OFFICE (DO/US)
☒ ELECTED OFFICE (EO/US)

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TITLE OF INVENTION		
OSCILLATOR CIRCUIT		
APPLICANT(S)		
Isami KATO and Hiroshi MIYAGI		

Mail Stop PCT
Attn DO/EO/US
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313 1450

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))

I declare that on the date indicated below, I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EV 622496353 US addressed to the "Commissioner for Patents, Mail Stop PCT, Alexandria, VA 22313 1450, Attention: DO/EO/US" and having an express mail certification which I executed, the following papers:

- a. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, duly executed;
- b. Specification 17 pps; Claims 4 pps; abstract 1 pp;
- c. Preliminary Amendment;
- d. Formal Drawings 2 sheets (Figs 1-2);
- e. Information Disclosure Statement;
- f. PTO Form 1449 with cited references;
- g. Copy of Notice Informing the Applicant of the Communication of the International Application to the Designated Offices;
- h. Copy of Information Concerning Elected Offices Notified of their Election;
- i. Notification Concerning Submission or Transmittal of Priority Document;
- j. Notification of Receipt of Record Copy;
- k. Copy of First Page of International Application Published Under the Patent Cooperation Treaty (PCT);
- l. Assignment Recordation Cover Sheet;
- m. Assignment of Rights (executed) 1pp;
- n. Combined Declaration and Power of Attorney (executed) 4pp;
- o. Check in the amount of \$1040.00;
- p. Return Receipt Postcard .

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Albert Isles
(Typed or printed name of person making this verified statement)

Date February 25, 2005

Albert Isles
(Signature of person making this verified statement)